

12-27-01

A

For A Small Entity

Docket No. DMA-3

Applicants : Alan Sullivan et al.

For : 3D DISPLAY DEVICES WITH TRANSIENT LIGHT SCATTERING SHUTTERS

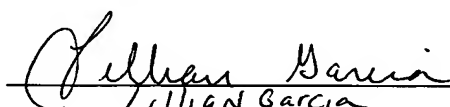
Jc973 U.S. PTO  
10/026934  
12/18/01

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EK708052921US.

Date of Deposit December 18, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, P.O. Box 2327, Arlington, Virginia 22202.

  
LILLIAN GARCIA

New York, New York 10020

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

TRANSMITTAL LETTER FOR  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] unexecuted  
declaration; and [ ] power of attorney by assignee for the  
above-identified patent application.

Also transmitted herewith are:

[X] Seven (7) sheets of:

[ ] Formal drawings.

Jc849 U.S. PTO  
12/18/01

10026934-121801

☒ Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ Certified copy(ies) of application(s)

\_\_\_\_\_  
(country) (appln. no.) (filed)

from which priority is claimed.

☐ An assignment of the invention to \_\_\_\_\_

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. \_\_\_\_\_ in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An information disclosure statement, form PTO-1449, and cited references.

\* \* \*

☒ Small entity status is requested.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$ 370.00
TOTAL CLAIMS	46 - 20 = 26	x	\$ 9 =	\$ 234.00
INDEPENDENT CLAIMS	11 - 3 = 8	x	\$42 =	\$ 336.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS		+	\$140 =	\$ 0.00
TOTAL				<u>\$ 940.00</u>

- [ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.
- [ ] Please charge \$\_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.
- [X] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
- [ ] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. \_\_\_\_\_. A duplicate copy of this transmittal letter is transmitted herewith.



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